

D. REGISTRATION OF ASSET MANAGEMENT COMPANY

Securities and Exchange Board of India (Mutual Funds) Regulations, 2026

[Regulation 15]

Sr. No.	Particulars	Details
1.	Name of the Asset Management Company	
2.	Proposed registered office/correspondence address Telephone Nos. Telex Nos. Fax Nos.	
3.	Name of the contact person	
4.	Proposed objects of the asset management company (enclose copy of the draft Articles and Memorandum of Association for approval) Main objects Ancillary objects	
5.	(a) Proposed capital structure (b) The net worth of the company to be represented by (necessary auditors certificate to be furnished)	
6.	Proposed systems and procedures for the asset management company (furnish description of systems and procedures proposed in the company and essential internal controls in order to carry on the business of the company)	
7.	Names of the associate organisations/group companies/subsidiaries, etc. of asset management company	

8.	Management of the asset management company Board of the company with names of the Directors, experience, qualification and profession Names of key personnel Proposed organisational structure Board of Directors of associate organisations, companies and subsidiaries	
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In case asset management company is an existing company:

Sr. No.	Particulars	Details
1.	Name of the asset management company	
2.	Address of the registered office/correspondence address Telephone Nos. Telex Nos. Fax Nos.	
3.	Name of the contact person	
4.	Date and place of incorporation of the asset management company (enclose a copy of certificate of incorporation)	
5.	Objects of the asset management company (enclose copy of the Memorandum and Articles of Association) Main objects Ancillary objects (the Memorandum and Articles of Association would need the approval of SEBI and necessary amendments)	

	shall have to be incorporated in the existing Memorandum and Articles of Association)	
6.	Capital structure and shareholding pattern (as of the latest date) Net worth of the company (as of the latest date) To be represented by	
7.	Present line(s) of business activities Number of years in that line	
8.	Condensed financial information (enclose balance sheets and profit and loss account for three years)	
9.	Accounting policies (furnish description of significant accounting policies)	
10.	Systems and procedures (furnish description of systems and procedures in the company and essential internal controls in order to carry on the business of the company)	
11.	Names of the associate organisations/group companies/subsidiaries, etc.	
12.	Management of the asset management company Board of the asset management company with names, experience, qualification, profession of the Directors. Names of key personnel Organisational structure Board of Directors of associate organisations, companies and subsidiaries	

13.	Names and addresses of the Bankers of the asset management company	
14.	Names and addresses of the auditors of the asset management company	
15.	Court cases/litigations in which the asset management company may have been involved in the last three years	
16.	Instruction for filling up the form (See Format No. 1A)	

CONDENSED FINANCIAL INFORMATION

The format of Condensed Financial Information as provided under [Format No. 1A](#) shall be referred.
